



STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION

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Notice of Adoption of Rule Amendments to Mandate Electronic Franchise Filings

March 28, 2019

To Interested Persons:

We are writing to inform you that the Securities Division has adopted final amendments to chapter 460-80 WAC to require the filing of applications for registration of franchise offerings and franchise brokers, as well as for franchise exemption notice filings, to be made through the Securities Division's electronic franchise filing system. The Securities Division's electronic franchise filing system is available at: <https://fortress.wa.gov/dfi/webapp/dfi/EFiling/FRegistration/>.

On March 27, 2019, the Securities Division filed a Rule-Making Order (CR-103) and the text of the final rules (OTS-1234.2). Please find accompanying this notice, copies of the rule making order and the text of the final rules. These materials will also be available on the rule making page of the Securities Division's website at: <https://dfi.wa.gov/rulemaking/franchise-electronic-system-filing-requirements>. **The rules become effective 31 days after the CR-103 filing.** At that point, the Securities Division will no longer accept paper filings. However, applicants may still submit response letters and pre-effective amendments in any format they wish.

If you have any questions about the adopted rules, you may submit them to Michelle Webster at michelle.webster@dfi.wa.gov, or you may mail them to the following address:

Michelle Webster, Esq.
Securities Division
P.O. Box 9033
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If you have any questions about the Securities Division's electronic franchise filing system, please contact Trang Pham at: trang.pham@dfi.wa.gov or call 360-902-8738.