



DFI Financial Education Grant Application

* ☐ I acknowledge I have read and accept the terms and conditions cited within the [grant manual](#).

Instructions:

This is an interactive application that can be completed in Adobe Acrobat Reader.

All information and documentation submitted to DFI is open to public review. DFI recommends confidential or sensitive information, including home addresses and social security numbers, be removed from documents submitted to DFI.

Failure to disclose information related to the terms and conditions of the application or agreement may disqualify your application.

Submitting the Application:

To submit your grant application you must send the required files (in a .pdf file format) to an email to FEGrants@dfi.wa.gov.

- The cumulative size of the message body and attachments (including signature) cannot exceed 10MB.

PLEASE NOTE: Submission of a complete eligible application does not guarantee funding. There are regularly more applications submitted than funding allocated for grants each year.

* Indicates a Required Field. Failure to answer may result in disqualification of the application.

Section 1: Organization Information

*Full Legal Organization Name		DBA (if any)	
*Street Address			
*Mailing Address			
*City		*State	*Zip
*Organization's Website			
*Organization Contact Name		*Contact Title	
*Contact Phone		*Contact Email	
*Non-Profit <input type="checkbox"/> 501c3 <input type="checkbox"/> 501c4 <input type="checkbox"/> 501c5 <input type="checkbox"/> 501c7 <input type="checkbox"/> 501c10 <input type="checkbox"/> 501c19		*Number of employees: <hr/> *Annual Budget: \$ <hr/> *Copy of most recent annual financial statement is included in the email with this application: <input type="checkbox"/> Yes <input type="checkbox"/> No If no, why not? 	
*Years Established <input type="checkbox"/> 3+ years <input type="checkbox"/> Less than 3 years		*Number of years conducting financial education in Washington:	
*Do any DFI staff sit on the organization's advisory board(s)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide details: 			
*Does the organization's financial and programmatic staff who will oversee this grant have more than one year prior grant award experience? <input type="checkbox"/> Yes <input type="checkbox"/> No			

*Does the organization employ any [DFI licensees](#)?

☐ Yes ☐ No

If yes, please provide details:

*Is there any pending litigation against the organization?

☐ Yes ☐ No

If yes, please provide details:

*Has your organization been audited in the past three years?

☐ Yes ☐ No ☐ N/A – organization has been in business less than three years

If yes, did the organization have one or more audit findings in their last single audit regarding program non-compliance and/or significant internal control deficiency?

☐ Yes ☐ No

Please describe:

*Are there currently any unresolved audit issues?

☐ Yes ☐ No ☐ N/A – organization has been in business less than three years

If yes, please share the details:

*Has this organization had any litigation filed against it in the past five years?

☐ Yes ☐ No

If yes, please provide details:

*Are there any accreditations you would like us to consider when reviewing this application?

(For example, counselors are AFCPE certified)

☐ Yes ☐ No

If yes, please provide details:

*Provide a copy of your org chart (such as this [nonprofit org chart example](#))

*Organizational Mission Statement [Please limit to 250 words]

*Brief Description of Organization [Please limit to 250 words]

Describe in 300 words or less how your organization/staff doing the outreach is/are community-based, community-rooted and/or community-led and/or are a trusted community entity

Community-rooted or Community-based: Solutions are rooted in a particular geographical place and/or community and respond to its needs.

Community-led: Solutions emerge from the community itself.

- **Trusted Community Organization:** A belief from the community that an organization has the ability to do what it says it will do, including the extent to which an organization is seen as being effective. A trusted organization exhibits and aligns its missions and values to the community’s norms, values, cultural history and beliefs.

DFI recognizes that community-rooted and community-led organizations and groups are often better positioned and equipped to listen, understand, and respond to the needs of their community members in the most culturally relevant and linguistically appropriate way. They are also better equipped to use a trauma-informed lens or approach to their interventions so as to not perpetuate further harm, fear, and distrust that may be exacerbated by governmental entities in times of extreme crisis, like a pandemic.

Describe in 300 words or less how the staff providing the outreach are representative of and/or connected to the communities they are serving.

Section 2: Proposal Summary

*Program/Project Name:

*Program Manager Name:

*Does the program leader have more than three years of experience in managing the scope of services required under this program?

☐ Yes

☐ No

*Has this organization previously been the recipient of state awarded grant other than from DFI – either directly or as a subgrantee?

☐ Yes

☐ No

If yes, please provide details.

*Requested Amount

\$

*Lowest Amount Accepted (In the event full funding is not granted)

\$

*Grant Period: (Example: July 1, 2024 – June 30, 2025)

*Are other state agency grants used to fund this program?

☐ Yes

☐ No

If yes, please provide details:

*Has this organization previously been the recipient of a DFI Financial Education Grant?

☐ Yes

☐ No

If yes, please provide details, including any changes made in the program, curriculum, staff, etc.:

*Other Funding Sources

☐ Yes

☐ No

If yes, please provide details:

*Describe the organization's internal control structure based on the following definition: Internal control structure is a system, structure, or process, implemented by a firm's board of directors, management and other personnel, intended to provide reasonable assurance about achieving control objectives in the following categories:

1. Effectiveness and efficiency of operations
2. Reliability of financial reporting
3. Compliance with applicable laws and regulations

[Please limit to 350 words]

*Does the organization have an accounting system that will allow them to completely and accurately track the receipt and disbursements of funds related to the award?

☐

Yes

☐

No

*Do the organization's financial and programmatic staff who will oversee this grant have more than one year prior grant award experience?

☐

Yes

☐

No

*Is this an existing program/project?

☐

Yes

☐

No

If yes, please provide details on why you feel it should continue with DFI funding [Please limit to 250 words]:

*Is this a new program/project?

☐

Yes

☐

No

If yes, please provide details on how you would define it as being innovative (featuring new methods; advanced and original, based on the most current research, filling a need not currently being met, programs proven successful in other states but not yet offered in Washington, etc.): [Please limit to 250 words]

*How will you prove efficacy of this project/program? In particular, please describe how you are using methods proven to be successful with underserved communities.

An example of efficacy could include pre- and post-test results indicating an increase in financial knowledge. An example of methods proven successful with underserved communities could include a description of education approaches you have identified to be more successful with clients/students gaining knowledge and feeling confident to implement positive financial choices, especially for underrepresented communities (i.e., mentorship programs, trust-building activities, engaging a peer model, hands on scenarios, addressing cultural norms, engaging the broader family, etc.).

[Please limit to 300 words]

* Describe the proposed initiative's breadth of coverage and whether you will be partnering with any other community-based or community-rooted or community-led organizations to implement the program. (E.g. How many people will it reach, what cities/counties in Washington State will be covered, who will you be partnering with, etc.) [Please limit to 350 words]

* Describe the organization's financial viability and ability to sustain the program/project once this grant's terms are completed. [Please limit to 350 words]

* Describe any new partnerships or expansions of existing partnerships that will be formed by the proposed program/project, including whether partners are trusted community entities. [Please limit to 350 words]

*Describe the specific, measurable deliverables that will come from this grant.
(See [Program Manual](#) for examples and guidance) [Please limit to 350 words]

*Describe the specific outcomes that will result from this grant and how they will be measured. (See [Program Manual](#) for examples and guidance) [Please limit to 350 words]

*Provide a budget showing how DFI grant funds would be used for this project/program. See the [Program Manual](#) for cost eligibility.

Budget Item	Grant Funds Requested	Total Cost
Staff	\$	\$
Volunteers	\$	\$
Scholarships/Waivers	\$	\$
Stipends	\$	\$
Room/Facility Rental	\$	\$
Supplies	\$	\$
Transportation	\$	\$
Travel Expenses (Lodging and Meals)	\$	\$
Other (Describe in Narrative)	\$	\$
TOTAL	\$	\$

Section 3: Nexus to DFI Outreach Goals

Please respond to any of the following six (6) areas which apply to the proposed program/project. **It is not necessary to respond to all six areas, just those that are applicable to the proposed initiative. The proposed project/program should, however, address at least one of these areas.**

Responses should succinctly explain how the proposed program/project would help further DFI's financial literacy and education program objectives to provide information and education about financial services by enhancing education and outreach to consumers, licensees and regulated entities.

Please keep each response to 350 words or less. References to attachments or external documents are not allowed.

1. Describe how the proposed program/project will educate high school students, especially in regards to student loans. [Please limit to 350 words]

2. To what extent will the proposed program/project help senior citizens avoid financial fraud?
[Please limit to 350 words]

3. To what extent will the proposed program/project provide Washington tribal members with culturally relevant financial education? [Please limit to 350 words]

4. To what extent will the proposed program/project re-entry for WaDOC residents and formerly justice-involved residents? [Please limit to 350 words]

5. To what extent will the proposed program help low-income, undeserved and/or at-risk communities in Washington attain economic security? [Please limit to 350 words]

6. Describe how the proposed program/project will increase the number of Washington residents with retirement savings plans. [Please limit to 350 words]