

Baseline Cybersecurity Exam Program

Pre-Exam Officer's Questionnaire

Question #	QUESTION	YES	NO	N/A	Comments
1	Does your institution have an employee(s) with the designated responsibility for implementing the information security program?				
1a	If so, please provide the Name, Title, and Contact information for the designated individual(s).				
2	Provide a list of all IT and IS related audits performed in the last 24 months. If an audit was performed, provide the name of the auditor and the date completed.				
3	How many people does your institution employ?				
4	How many branches and/or locations does your institution operate?				
5	Is activity conducted internationally? If so, in what countries?				
6	Are online transactions performed or enabled?				
7	Is your institution subject to PCI compliance?				
7a	If so, what is your institution's PCI compliance level?				
7b	Has your institution received a SOC 2 Type 2 audit? If so, provide a copy of the report.				
8	What is the daily transaction volume?				
9	What is the average transaction dollar volume?				
10	What is the transaction origination source/channel?				
11	Does your institution manage its own datacenter?				
12	Is any development performed in-house including front end UI, middleware/API, and backend data manipulation?				
13	How many servers?				
14	How many PCs?				
15	Have any cybersecurity incidents occurred in the past 24 months? If yes, how many?				
16	Are cloud services used?				