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**STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING  
whether there has been a violation of the  
Securities Act of Washington by:

Order Number S-15-1748-15-CO01

CONSENT ORDER

JRS INVESTMENTS AND WEALTH  
PRESERVATION, LLC, CRD #146933;  
JAMES R. SWANSON, CRD # 1244921;

Respondents.

9 THE STATE OF WASHINGTON TO: JRS INVESTMENTS AND WEALTH PRESERVATION,  
10 LLC, CRD #146933  
11 JAMES R. SWANSON, CRD # 1244921

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**INTRODUCTION**

Pursuant to the Securities Act of Washington, Chapter 21.20 RCW, the Securities Administrator of the Department of Financial Institutions Securities Division (“Securities Division”) and the Respondents, JRS Investments and Wealth Preservation, LLC (“JRS Investments”) and James R. Swanson, do hereby enter into this CONSENT ORDER in settlement of the matters alleged herein. The Securities Division believes that entry of an agreed Consent Order is in the public interest and is appropriate for the protection of investors. The Securities Division and Respondents jointly set forth the following Findings of Fact and Conclusions of Law.

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**FINDINGS OF FACT**

**Respondents**

1. JRS Investments has been registered as an investment adviser in California since June 2008. In approximately April 2010 JRS Investments moved its office to Washington State and has been operating from Benton City, Washington. Its investment adviser’s Central Registration Depository (“CRD”) number is 1244921. The Securities Division file number is # 3000227001.





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Approved by:



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Suzanne E. Sarason  
Chief of Enforcement

Presented by:



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Kristen Standifer  
Compliance Legal Examiner