IN THE MATTER OF DETERMINING

whether there has been a violation

of the Securities Act of Washington by:

NDG Investment Group, LLC; Jose Nino de Guzman; Philip Boos; Darin Donaldson; Glenn Fulton; Nathan Hoerschelmann; Lucy M. Miller; Maxime Albi; Ejercito Residential, LLC; Arequipa, LLC; 28th of July, LLC; Miraflores-Francia, LLC; Larco-Bolivar Investments, LLC; Madrid Residential, LLC; Residencial Casuarinas, LLC; El Golf Residential, LLC; Del Solar Residential, LLC; Shell La Paz, LLC; NDG-Brycon, LLC; NDG-Brycon 2, LLC; Los Alamos Residential, LLC; Grau Residential, LLC; Jorge Chavez, LLC.

Respondents

On May 21, 2010, the Securities Division of the State of Washington issued Statement of Charges and Notice of Intent to Enter an Order to Cease and Desist, Impose Fines, and Recover Costs, S-09-163-10-SC01, hereinafter referred to as “Statement of Charges,” against Respondents NDG Investment Group, LLC; Jose Nino de Guzman; Philip Boos; Darin Donaldson; Glenn Fulton; Nathan Hoerschelmann; Lucy M. Miller; Maxime Albi; Ejercito Residential, LLC; Arequipa, LLC; 28th of July, LLC; Miraflores-Francia, LLC; Larco-Bolivar Investments, LLC; Madrid Residential, LLC; Residencial Casuarinas, LLC; El Golf Residential, LLC; Del Solar Residential, LLC; Shell La Paz, LLC; NDG-Brycon, LLC; NDG-Brycon 2, LLC; Los Alamos Residential, LLC; Grau Residential, LLC; and Jorge Chavez, LLC. The Securities Division and Respondent Maxime Albi do hereby enter into this Consent Order.
Order in settlement of the above-captioned matter as to Respondent Albi. The Securities Division made certain allegations and conclusions in the Statement of Charges, set forth under the headings “Tentative Findings of Fact” and “Conclusions of Law.” Respondent Maxime Albi neither admits nor denies the Findings of Fact and Conclusions of Law, which are hereby incorporated by reference into this Consent Order.

CONSENT ORDER

Based upon the foregoing and finding it in the public interest:

IT IS AGREED AND ORDERED that Respondent Maxime Albi shall cease and desist from violating RCW 21.20.140, the provision of the Securities Act of Washington making it unlawful to sell unregistered securities.

IT IS FURTHER AGREED AND ORDERED that Respondent Maxime Albi shall cease and desist from violating RCW 21.20.040, the section of the Securities Act of Washington requiring registration of securities salespersons, broker-dealers, investment advisers, and investment adviser representatives.

IT IS FURTHER AGREED AND ORDERED that Respondent Maxime Albi shall cease and desist from violating RCW 21.20.010, the anti-fraud section of the Securities Act of Washington.

IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent Order.

IT IS FURTHER AGREED that Respondent Maxime Albi enters into this Consent Order freely and voluntarily and with full understanding of its terms and significance.
IT IS FURTHER AGREED that in consideration of the foregoing, Respondent Maxime Albi waives his right to a hearing in this matter and judicial review of this matter pursuant to RCW 21.20.440 and RCW 34.05.

WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

APPROVED FOR ENTRY BY:

/s/
ROBERT ADOLPH, Attorney for Respondent

SIGNED this 7th day of August, 2013 by:

/s/
MAXIME ALBI, individually

SIGNED and ENTERED this 17th day of September, 2013.

WILLIAM M. BEATTY
Securities Administrator

Approved by:

SUZANNE SARASON
Chief of Enforcement

Presented by:

MARTIN CORDELL
Financial Legal Examiner