## STATE OF WASHINGTON DEPARTMENT OF FINANCIAL INSTITUTIONS **SECURITIES DIVISION**

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IN THE MATTER OF DETERMINING

of the Securities Act of Washington by:

NDG Investment Group, LLC; Jose Nino de Guzman; Philip Boos; Darin Donaldson; Glenn

Maxime Albi; Ejercito Residential, LLC;

Madrid Residential, LLC; Residencial

LLC; Jorge Chavez, LLC.

Fulton; Nathan Hoerschelmann; Lucy M. Miller;

Arequipa, LLC; 28th of July, LLC; Miraflores-

Francia, LLC; Larco-Bolivar Investments, LLC;

Casuarinas, LLC; El Golf Residential, LLC; Del

Solar Residential, LLC; Shell La Paz, LLC; NDG-Brycon, LLC; NDG-Brycon 2, LLC; Los

Alamos Residential, LLC; Grau Residential,

whether there has been a violation

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Order Number S-09-163-10-CO05

CONSENT ORDER

AS TO

LUCY M. MILLER

Respondents

On May 21, 2010, the Securities Division of the State of Washington issued Statement of Charges and Notice of Intent to Enter an Order to Cease and Desist, Impose Fines, and Recover Costs, S-09-163-10-SC01, hereinafter referred to as "Statement of Charges," against Respondents NDG Investment Group, LLC; Jose Nino de Guzman; Philip Boos; Darin Donaldson; Glenn Fulton; Nathan Hoerschelmann; Lucy M. Miller; Maxime Albi; Ejercito Residential, LLC; Arequipa, LLC; 28th of July, LLC; Miraflores-Francia, LLC; Larco-Bolivar Investments, LLC; Madrid Residential, LLC; Residencial Casuarinas, LLC; El Golf Residential, LLC; Del Solar Residential, LLC; Shell La Paz, LLC; NDG-Brycon, LLC; NDG-Brycon 2, LLC; Los Alamos Residential, LLC; Grau Residential, LLC; and Jorge Chavez, LLC. The Securities Division and Respondent Lucy M. Miller do hereby enter into this Consent CONSENT ORDER

DEPARTMENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033 Olympia, WA 98507-9033 360-902-8760

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Order in settlement of the above-captioned matter as to Respondent Miller. The Securities Division made certain allegations and conclusions in the Statement of Charges, set forth under the headings "Tentative Findings of Fact" and "Conclusions of Law." Respondent Lucy M. Miller neither admits nor denies the Findings of Fact and Conclusions of Law, which are hereby incorporated by reference into this Consent Order.

## CONSENT ORDER

Based upon the foregoing and finding it in the public interest:

IT IS AGREED AND ORDERED that Respondent Lucy M. Miller shall cease and desist from violating RCW 21.20.140, the provision of the Securities Act of Washington making it unlawful to sell unregistered securities.

IT IS FURTHER AGREED AND ORDERED that Respondent Lucy M. Miller shall cease and desist from violating RCW 21.20.040, the section of the Securities Act of Washington requiring registration of securities salespersons, broker-dealers, investment advisers, and investment adviser representatives.

IT IS FURTHER AGREED AND ORDERED that Respondent Lucy M. Miller shall cease and desist from violating RCW 21.20.010, the anti-fraud section of the Securities Act of Washington.

IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent Order.

IT IS FURTHER AGREED that Respondent Lucy M. Miller enters into this Consent Order freely and voluntarily and with full understanding of its terms and significance.

CONSENT ORDER

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1	IT IS FURTHER AGREED that in consideration of the foregoing, Respondent Lucy M. Miller			
2	waives her right to a hearing in this matter and judicial review of this matter pursuant to RCW 21.20.440			
3	and RCW 34.05.			
4	WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.			
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6	SIGNED this day of	March	, 2012 by:	
7	LUCY M. MILLER		-	
8	LUCY M. MILLER			
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10	SIGNED and ENTERED this <u>28th</u>	day ofMarch	, 2012.	
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13		WILLIAM M. BEATTY Securities Administrator		
14	Approved by:	Presented by:		
15	An Ellen	Martin Corde	W.	
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17	SUZANNE SARASON Chief of Enforcement	MARTIN CORDELL Financial Legal Examine	er	
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19	Reviewed by:			
20	Shart Clark			
21	CHARLES CLARK Financial Legal Examiner Supervisor	-		
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23	CONSENT ORDER	DEPARTM	ENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033	
24			Olympia, WA 98507-9033 360-902-8760	