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STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION

IN THE MATTER OF DETERMINING
whether there has been a violation
of the Securities Act of Washington by:

Order Number S-06-094-06-CO01

CONSENT ORDER

John A.B. Black,

Respondent.

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On November 13, 2006, the Securities Administrator of the State of Washington issued Statement of Charges, S-06-094-06-SC01, against the Respondent John A.B. Black. The Securities Division and Respondent John A.B. Black do hereby agree to this Consent Order in settlement of the above captioned matter. The Securities Division has, in the Statement of Charges, made certain allegations and conclusions, set forth under the headings "Tentative Findings of Fact" and "Conclusions of Law". John A.B. Black neither admits nor denies the Tentative Findings of Fact and Conclusions of Law, which are hereby incorporated by reference into this Consent Order.

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CONSENT ORDER

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Based upon the foregoing:

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IT IS AGREED AND ORDERED that Respondent John A.B. Black shall cease and desist from violating RCW 21.20.702, the suitability section of the Securities Act of Washington.

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IT IS FURTHER AGREED AND ORDERED that Respondent John A.B. Black shall cease and desist from violating RCW 21.20.110(g) by engaging in one or more dishonest or unethical practices in the securities business, as defined by WAC 460-22B-090.

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IT IS FURTHER AGREED AND ORDERED that Respondent John A.B. Black shall not make application for nor be granted a broker-dealer, securities salesperson, investment adviser and/or

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CONSENT ORDER

1 investment adviser representative license from the date of entry of this Consent Order by the Securities
2 Administrator.

3 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Order.

4 IT IS FURTHER AGREED that in consideration of the foregoing Respondent John A.B. Black
5 waives his right to a hearing in this matter and judicial review of this order.

6 **WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.**

7 SIGNED this 5th day of March, 2007.

8 Approved for entry by:

9 /s/
10 Scott Volyn, Attorney for Respondent John A.B. Black

11 Signed by:

12 /s/
13 John A.B. Black, individually

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16 SIGNED and ENTERED this 7th day of March, 2007

Michael E. Stevenson

MICHAEL E. STEVENSON
Securities Administrator

17 Approved by:

18 *Suzanne Sarason*

Suzanne Sarason
Chief of Compliance & Examinations

19 Presented by:

20 *Jill M. Valley*

Jill M. Valley
Financial Legal Examiner